

# DYNAMIC ESTIMATING THE KARST TUNNEL WATER INRUSH BASED ON MONITORING DATA DURING EXCAVATION

## PRIČAKOVANA DINAMIKA VDORA VODE V PREDORE NA PODLAGI MERITEV MED NJIHOVO GRADNJO

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### Abstract

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*Kang Xiaobing, Luo Sheng, Xu Mo, Zhang Qiang & Yang Yanna: Dynamic estimating the karst tunnel water inrush based on monitoring data during excavation*

The tunnel water gushing has long been a difficult hydrogeological problem, especially in karst areas. It affects the entire process of tunnel construction, operation and maintenance. In view of the complex disaster-causing mechanism and difficult quantitative predictions of water inrush, several theoretical methods are adopted to realize dynamic assessment of water inrush in the progressive process of tunnel construction. According to a survey conducted in the Zoumaling tunnel near Chongqing, China, 62% of its total length, e.g., 1525 m is associated with karst (including a fault fracture zone). On the basis of collecting real-time monitoring data about water inrush in the excavated section of the Zoumaling tunnel, a fuzzy data analysis method has been used to analyze the content of seven common ions in the inflow water, which makes it possible to classify the groundwater types and to establish the hydrogeological model of the tunnel site. In order to forecast the possibility and quantity of water inrush, it is essential to accurately model the groundwater system spatially. The preliminary forecasting result about untapped section reveals a small possibility of a sudden water inflow disaster and 35,000 m<sup>3</sup>/d water inflow, which is close to the ultimately measured quantity of water. This study provides a theoretical reference for the prediction of water inrush during tunnel construction, and the main characteristic of this study is reflected in the real-time prediction of tunnel water inrush according to actual tunnel inflow of excavated sections. This approach can be applied in similar situations for the prediction of tunnel water inrush in other karst regions.

**Key words:** karst region, tunnel water inrush; dynamic estimate; fuzzy cluster analysis.

### Izvleček

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*Kang Xiaobing, Luo Sheng, Xu Mo, Zhang Qiang & Yang Yanna: Pričakovana dinamika vdora vode v predore na podlagi meritev med njihovo gradnjo*

Pojav vdiranja vode v predore je že dolgo časa poznana težava, še posebej na kraških območjih. Pojavlja se med celotno gradnjo predorov, njihovo uporabo in vzdrževanjem. Za proučevanje potencialnega pojava nesreč in težavnega napovedovanja količine vdora vode je bilo preizkušenih več različnih teoretičnih metod. Te omogočajo oceno dinamike vdora vode med celotnim procesom gradnje predorov. Pri predoru Zoumaling v bližini mesta Chongqing (Kitajska) približno 62 % dolžine predora (1525 m) poteka na območju krasa in čez prelomna območja. Na podlagi v realnem času zbranih podatkov o vdorih vode v izkopanih odsekih predora Zoumaling se je naredila analiza mehkih množic. Ta je bila uporabljena za analizo sedmih v vodi najbolj značilnih ionov in je omogočila razvrstitev podzemne vode v različne skupine, s tem pa izdelavo hidrogeološkega modela neposredne okolice predora. Za analizo verjetnosti vdora vode in njene možne količine je izdelava natančnega modela vodonosnika zelo pomembna. Prvi rezultati, ki se nanašajo na en še nedokončan odsek, kažejo na majhno možnost nenadnega vdora vode. Največja možna dnevna količina vdora je ocenjena na 35.000 m<sup>3</sup>, kar je blizu najvišje izmerjene dnevne količine dotoka. Pričujoča raziskava vzpostavlja teoretično podlago za napoved vdora vode v času gradnje predora, glavna posebnost pa je napoved vdora v realnem času na podlagi izmerjenega dotoka v že izkopanih odsekih predora. Predstavljen postopek in napovedi, ki jih omogoča, se lahko uporabijo v podobnih primerih tudi na drugih kraških območjih.

**Ključne besede:** kraško območje, vdor vode v predore, pričakovana dinamika, analiza mehkih množic.

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## INTRODUCTION

According to the “World Karst Aquifer Map” scaled 1:40,000,000, 14.7% of the Earth’s surface entirely or partly consists of karst carbonate rocks (Goldscheider & Chen 2017). China has one of the most extensive karst occurrences, with an area of 3,463,000 km<sup>2</sup>, accounting for 30% of its territory, including exposed karst, covered karst (under loose sediments), and buried karst (under non-soluble bedrock) (Yuan *et al.* 1991). Karst areas have the most developed carbonate deposits of the southwest mountains, such as Yunnan, Guizhou, Sichuan, and Hubei Province. Due to the characteristics of karst geology and terrains, it is always difficult to control water inrush into tunnels under construction in karstic terrain (Radulović 2013).

Construction of tunnels in karst areas may cause drying-up of springs and losses of base-flow in mountain streams (Vincenzi *et al.* 2013). Groundwater inrush into tunnels is one of the most unpredictable problems in tunnel construction due to the complexity of karst development and distribution. For underground engineering, the risk source is hard to precisely determine and tackled, which imposes difficulty to the ground inspection and construction (Li *et al.* 2015). This may bring about a potential hazard for personal injury and may lead to project delays and huge economic losses (Wang *et al.* 2016; Handong *et al.* 2017). For instance, in the Yesanguan tunnel of Yichang-Wanzhou Railway, water inrush occurred in “DK124 + 602” karst tunnels on 5 August 2007. The peak flow rate reached  $1.5 \times 10^5$  m<sup>3</sup>/h, which caused that 10 workers died unfortunately and the equipment and machinery in the tunnel was seriously damaged (Jiang 2017).

Therefore, it is essential to be able to accurately predict the water inrush that is likely to occur into a tunnel. Over the last few decades, due to the progressive increase

of tunnel construction in the world (Song *et al.* 2006; Perello *et al.* 2013), a certain amount of effort has been dedicated to develop methods to forecast inrush into tunnels. Common water gushing calculation method is shown in Tab. 1 (Zhou & Li 2000). Meanwhile, there is more work to be done to understand water inrush disasters fully. These formulas generally requires a series of related parameters about the formation permeability coefficient (K), water depth, tunnels affect width and so on. The quality and accuracy of these input data affect the reliability of the prediction results tremendously (Heuer 1995; El Tani 2003; Raymer 2005; Perrochet 2005; Kolymbas & Wagner 2007). Once the parameters can be accurately determined and its calculation accuracy more refined. Hence it is necessary to improve the quality of the data input for the forecast of water inrush of tunnels in karst areas.

In addition to the formula mentioned in Tab. 1, there are related factors analysis, numerical calculation method, nonlinear theory and other methods to predict the tunnel water inrush (Li *et al.* 2013). Both the normal tunnel water inrush, and the maximum tunnel water inrush, are great uncertainty and have a large difference with the actual water inrush so far, yet no mature theory and proven accurate calculation.

This paper develops such an approach through a case study in Chongqing, China. It begins with a detailed introduction to the tunnel site hydrogeological and water gushing disaster. Then, the field water gushing monitoring and water chemical clustering analysis method are taken to study the tunnel inrush. Finally, forecast tunnel water inrush accurately based on the identification of the groundwater system in tunnel site. This method is proposed to estimate water inrush of tunnel, especially in construction stage.

Tab. 1: Common water discharge calculation method and description.

Method	Applicable conditions	Accuracy
Rainfall infiltration method	Suitable for shallow burial depth mountain tunnel, also applies to the karst area	May forecast macroscopic and approximate normal tunnel water discharge
Runoff modulus calculation method	Suitable for mountain tunnel through one or more surface water catchment areas, also applies to the karst area	May forecast macroscopic and approximate normal tunnel water discharge
Runoff depth calculation method	Suitable for mountain tunnel through one or more surface water catchment areas, also applies to the karst area	May forecast normal tunnel water discharge, but it is an approximate quantity
Groundwater dynamics method	Suitable for almost all kinds of conditions	May forecast normal tunnel water discharge. Once the parameters can be accurately determined, its calculation accuracy more refined.
		May forecast maximum tunnel water discharge. Once the parameters can be accurately determined, its calculation accuracy more refined.
Analogy method	Applicable in the proposed tunnel close to a similar project, which has similar hydrogeological conditions.	May forecast approximate normal tunnel water discharge and maximum tunnel water discharge.
Isotope tritium method	Suitable for mountain tunnel and hillside tunnel.	A relatively accurate method, but the isotopes data is difficult to obtain

### SITE DESCRIPTION

The Zoumaling tunnel is a crucial section of the road between Shizhu City and Wanzhou City (Fig. 1). The tunnel crosses the Mt. Fangdou mountain anticline with a maximum terrain elevation of 1250 m a.s.l. The south and north entrances of the tunnel are marked with labels K46+614 and K49+077 on the map (Fig. 2), respectively, with a total length of the tunnel of 2463 m. The

tunnel elevation is about 690 m a.s.l. and the overburden thickness is nearly 400 m. The tunnel cuts through the Fangdou mountain anticline at a right angle. The tunnel crosses the biggest fault (F18) close to the position of borehole ZK2-4 (Fig. 2). Due to intense fracturing in the rock mass karst phenomena, including cavities were developed in the core of the anticline. Prior to construc-

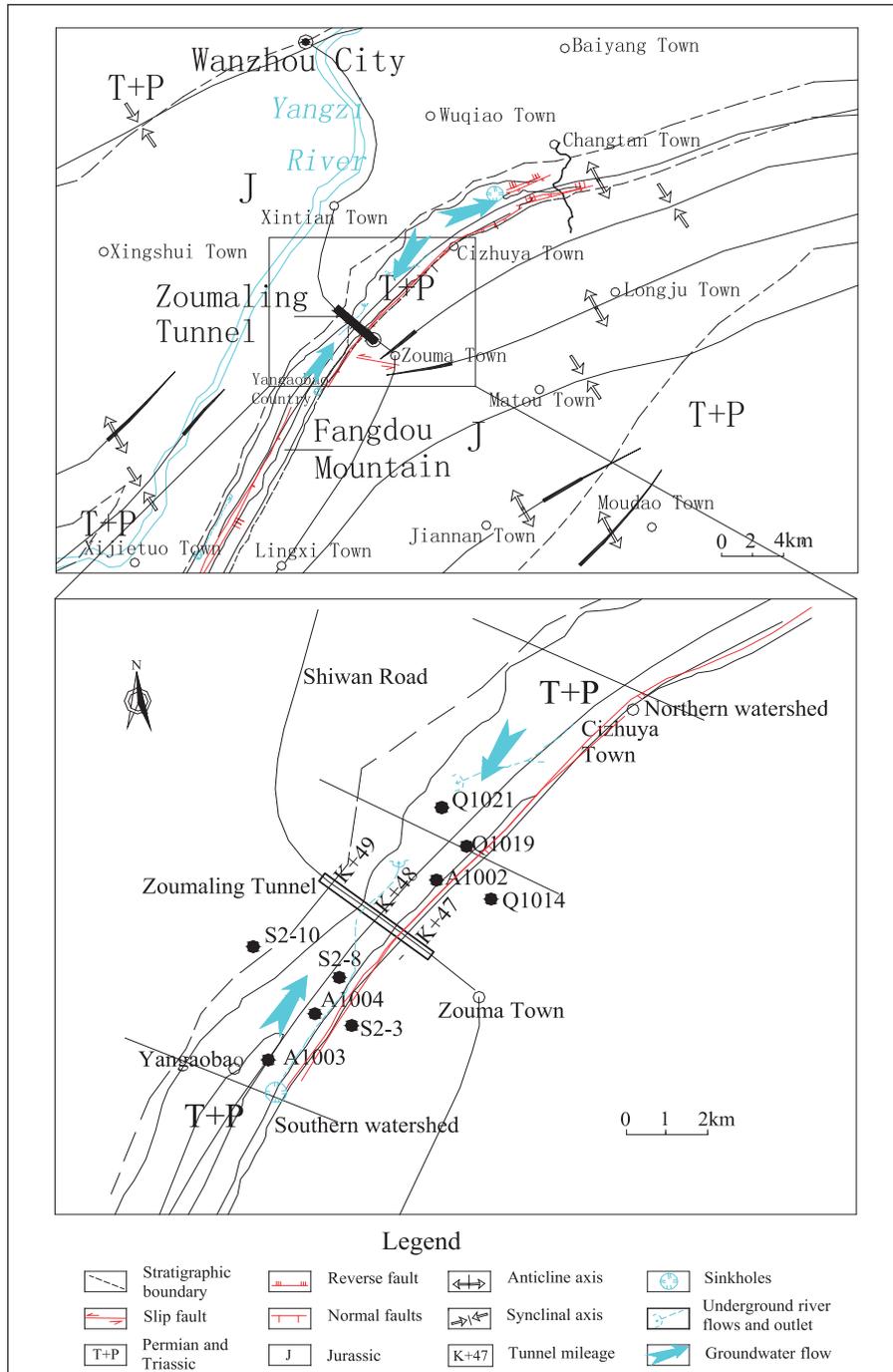


Fig. 1: Geological map and location of water samples of Zoumaling tunnel.

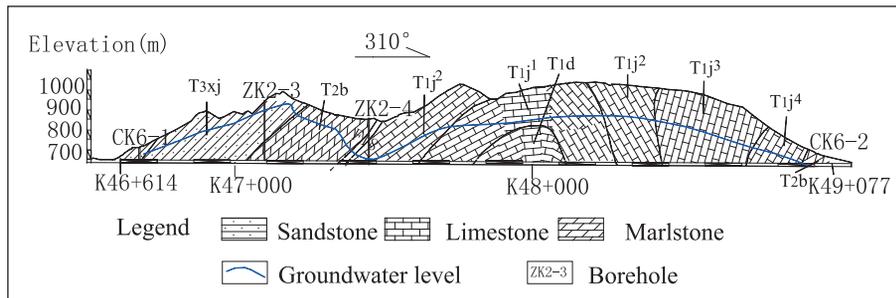


Fig. 2: Section profile along the tunnel.

tion, an exploration hole ZK2-3 was drilled down to the tunnel level. The water level in this borehole was encountered at 906.2 m a.s.l., more than 225 meters above the tunnel level. This confirmed that the planned tunnel would encounter karst water under pressure.

Before building underground structures in karst areas, it is necessary to carefully evaluate the extent of the karst landforms, including epikarst and underground karst (Day 2004; Xeidakis *et al.* 2004; Casagrande *et al.* 2005; Knez *et al.* 2008; Zini *et al.* 2015). The Fangdou mountain anticline is an isolated hydrogeological unit which is flanked on its eastern and western sides by impervious sandstone and mudstone units. Both sides of the anticlinal mountain form cliffs and steep slopes. The core of the anticline is composed of limestone (T<sub>1d</sub>, T<sub>1j</sub>, T<sub>2b</sub>) which forms valleys and depressions of various forms and sizes (Fig. 2). Except for evaporation, all precipitation in the region of the anticline above the tunnel

infiltrates into the underground. Hence the recharge of the groundwater in the anticline is very good. In addition to the structural features caused by a variety of tectonical events, the neotectonic activity in this region is also very important. There are many karst forms in this area, including springs, caves, sinkholes, underground rivers, depression, and the karst water recharge runoff and discharge conditions are very complex. Therefore, all these factors make the carbonate rocks in the Fangdou mountain anticline favorable for karst development.

The Yangze River valley, 18 km north of tunnel is the regional base level at 150 m a.s.l. As the average elevation of the tunnel base is about 540 m higher than the regional base level, the tunnel is located in the vertical alternating zone of karst water, so the hydrological conditions in this area are of a complex type.

## MONITORING AND ANALYSIS OF THE TUNNEL WATER GUSHING

The construction of Zoumaling tunnel began on January 8<sup>th</sup>, 2004 from both entrances simultaneously. Due to the complex geological formations and groundwa-

ter inrush problems (Fig. 3), the excavation progress was very slow.

In May, 2004, a long term program started to moni-



Fig. 3: Two photos of Zoumaling tunnel water inrush. Left: trapezoidal weir, located at tunnel entrance. Right: tunnel water inrush located at K47+350 (Photos: Q. Zhang).

tor the water chemical composition, head pressure, etc. of inrush points of the tunnel, during the tunnel excavation process with help of in-situ monitoring equipment simultaneously at both sides of the tunnel. Fig. 4 shows the total daily water gushing quantities at both tunnel entrances

from May 13<sup>rd</sup> to September 23<sup>rd</sup>, 2004; the tunnel was completed in late 2005. The curve shows clearly the variation of gushing over time during the tunnel excavation.

Before May 27<sup>th</sup>, the tunnel was excavated in T<sub>3</sub>xj, sand-shale rock, containing groundwater in bedrock fis-

Tab. 2: Average monthly inrush per lithological unit during the period May to September of 2004.

Date (month)	5	6	7	8	9
Inrush (m <sup>3</sup> /d)	5985	4307	8249	10066	12396
Stratum in tunnel driving face	T <sub>3</sub> xj sandrock	T <sub>2</sub> b marlite	Lamina marlite	Ending of T <sub>2</sub> b stratum, fault broken zone of F <sub>18</sub>	

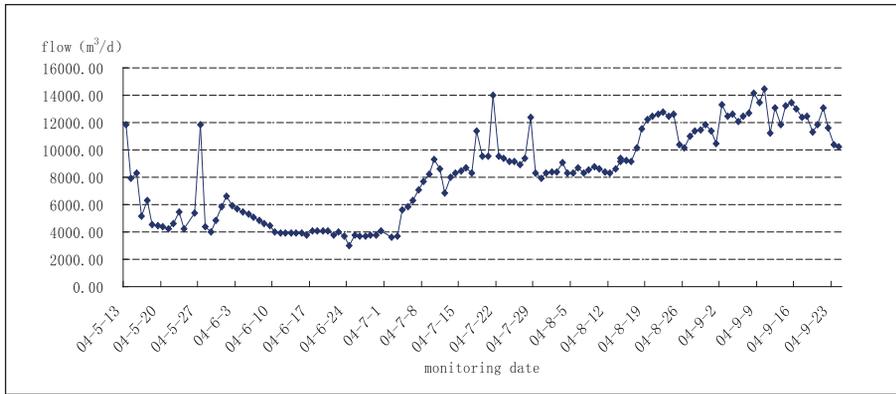


Fig. 4: Total volume of daily water discharge monitored at both tunnel entrances during the excavation process until September 23<sup>rd</sup>, 2004. The tunnel was completed in late 2005.

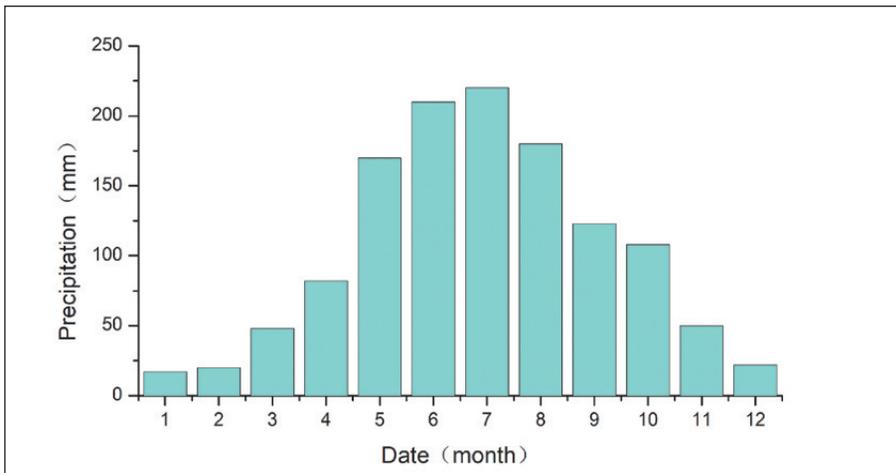


Fig. 5: Monthly rain fall measured in 2004 of Wanzhou.

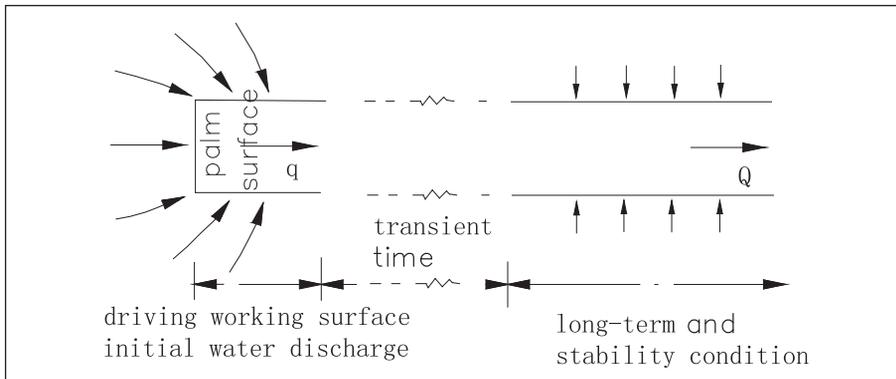


Fig. 6: Schematic view of initial water inrush and steady-state water inrush.

tures. The water inrush rapidly decreased to a stable level. When the tunnel reached the T<sub>2</sub>b unit at K47+013.5 on May 28<sup>th</sup>, a large gushing occurred at the unit contact (Fig. 4). The maximum inrush increased to almost 12,000 m<sup>3</sup>/d, then rapidly reduced, and stayed stable between 4,300 and 5,200 m<sup>3</sup>/d. At K47+194, on July 4<sup>th</sup>, the groundwater inrush increased gradually because the rock surrounding the tunnel is tectonically shattered, reaching a volume of 14,000 m<sup>3</sup>/d on July 21<sup>st</sup>, 2004, then gradually decreasing again. On August 17<sup>th</sup>, 2004, the tunneling reached the broken fault zone F 18 and water inrush began to increase to an average daily quantity of over 12,000 m<sup>3</sup> in September. Based on the daily monitored inrush data, the average inrush values were calculated and listed in Tab. 2.

Fig. 5 shows the rainfall histogram for 2004 for the Wanzhou area where a high precipitation period in June and July is clearly marked. The peak in the tunnel water inrush in July (Fig. 4) can be correlated with this rainy period. But after this period, the water inrush still showed an upward trend. So there was not a clear relationship between the tunnel water inrush and rainfall. The water inrush quantity seems to be correlated as well with the actual geological characteristics. The reason of larger initial water gushing at the start of the tunnel excavation is

the water flow into the tunnel from the tunnel driving face and the rock surrounding the tunnel (Fig. 6) (Heuer 1995) due to a higher water pressure in the tunnel driving face. The groundwater volume stored in the rock mass at the tunnel site before excavation is larger than after. The water stored in the discontinuities in the rock mass around the tunnel before the excavation is the source of the initial water gushing. This inrush decreases after the excavation as the rock mass was drained during the excavation (Wang 1994). So the water gushing into the tunnel decreases after an initial large volume and then stabilizes gradually.

The forecast result of tunnel water inrush is 12 500 m<sup>3</sup>/d using the groundwater dynamics method, and it is 12 400 m<sup>3</sup>/d using the precipitation method according to a detailed survey report (Sichuan Coalfield Geology ... 2003). This differed greatly from the water monitoring values. This may be due to non-consideration of the geological conditions in the tunnel zone and the parameters of the drainage area, and/or due to unreasonable infiltration coefficient values used. For the T<sub>j</sub> limestones which are exposed nearly 1525 m in the tunnel no exploration and testing data were available, so the applicability of the groundwater dynamics method was restricted.

## WATER CHEMICAL COMPOSITION CLUSTER ANALYSIS

For a proper prediction of the water inrush into the tunnel, we must know the hydrogeological conditions and the groundwater spatial model (Li 1988; Zhou *et al.*

2015). For this purpose, we carried out a detailed hydrogeological investigation in the project area, including

Tab. 3: Hydrochemistry analysis of the water samples. Water samples from nine spring points ( $x_1$  to  $x_9$ ) around the tunnel and six water samples from the different tunnel discharge ( $x_{10}$  to  $x_{15}$ ).

Sampling Point	Room No.	Main ion content in water (unit g/l)						
		CO <sub>3</sub> <sup>2-</sup>	HCO <sub>3</sub> <sup>-</sup>	Cl <sup>-</sup>	Ca <sup>2+</sup>	Mg <sup>2+</sup>	SO <sub>4</sub> <sup>2-</sup>	K <sup>+</sup> +Na <sup>+</sup>
Q1014	$x_1$	0	0.104	0.002	0.032	0.010	0.052	0.010
Q1019	$x_2$	0	0.360	0.008	0.116	0.010	0.041	0.009
Q1021	$x_3$	0	0.368	0.004	0.137	0.010	0.079	0.004
A1002	$x_4$	0	0.204	0.007	0.064	0.007	0.033	0.010
A1003	$x_5$	0	0.175	0.003	0.058	0.003	0.017	0.004
A1004	$x_6$	0.005	0.191	0.006	0.058	0.021	0.079	0.012
S2-3	$x_7$	0.003	0.170	0.003	0.074	0.003	0.052	0.002
S2-8	$x_8$	0	0.253	0.003	0.084	0.005	0.041	0.011
S2-10	$x_9$	0	0.245	0.001	0.079	0.003	0.021	0.007
K46+860	$x_{10}$	0	0.107	0.001	0.024	0.004	0.006	0.009
K46+927	$x_{11}$	0	0.107	0.001	0.024	0.004	0.014	0.012
K47+014	$x_{12}$	0	0.172	0.003	0.082	0.015	0.156	0.020
K47+063	$x_{13}$	0	0.175	0.001	0.066	0.009	0.071	0.008
K47+194	$x_{14}$	0	0.266	0.001	0.085	0.005	0.048	0.017
K47+220	$x_{15}$	0	0.285	0.001	0.105	0.009	0.083	0.011



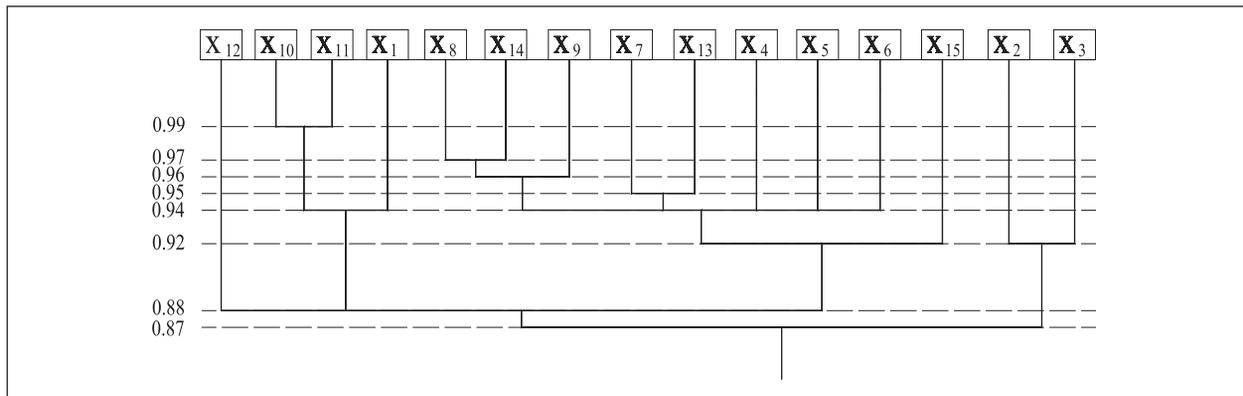


Fig. 7: Fuzzy clustering result. The left column of data between 0.87 and 0.99 in the figure means the correlation coefficient for each cluster.

divide and analyse groundwater system of tunnel site accurately, and it is the foundation to forecast tunnel water inrush.

FORECASTS OF TUNNEL WATER INRUSH

In the static estimate of the design stage, the water inrush is determined through using common water gushing calculation method. Then by using real-time monitoring data including water gushing, groundwater specimen even lithologic characteristics during the excavation of tunnel, dynamic estimate of water inrush can be realized in the progressive process of tunnel construction. Ultimately we can use the method of groundwater runoff modulus to calculate the tunnel water inrush.

The values for this parameter M (modulus of runoff) were taken from the 1:200,000 regional hydrogeological report of Wanxian and Zhongxian County (Sichuan Geological Bureau ... 1981, 1984). According to the exposed position of the strata, the topography, and in combination with the runoff conditions in the hydrogeological units, the values of groundwater runoff modulus during the dry season are estimated as follows (Meng & Lei 2003):

- J<sub>2</sub>s and J<sub>1</sub>zh formation (Jurassic) M = 0.75 (l/s•Km<sup>2</sup>);
- T<sub>3</sub>xj formation (Upper Triassic) M = 0.75 (l/s•Km<sup>2</sup>);
- T<sub>2</sub>b formation (Middle Triassic) M = 3.96 (l/s•Km<sup>2</sup>);
- T<sub>1</sub>j formation (Lower Triassic) M = 6.0 (l/s•Km<sup>2</sup>).

The aquifer thickness is calculated above the tunnel axis, and the area is measured from 1:50,000 geological maps.

Then we use the following formula: Q = 86.4 • M • F (Jiang 1991; Ministry of Railway ... 2004) to calculate the volume of groundwater inrush.

- In the formula: Q = value of groundwater inrush (m<sup>3</sup>/d);
- M = modulus of runoff (l/s•km<sup>2</sup>);
- F = area of the concerned unit (km<sup>2</sup>).

The result is shown in Tab. 4 and shows that the value of groundwater inrush calculated with help of the underground runoff modulus is almost 3.5×10<sup>4</sup>m<sup>3</sup>/d during the dry season.

The total volume of natural groundwater inrush during the dry season is 12,370 m<sup>3</sup>/d as calculated by the atmospheric precipitation infiltration method and groundwater dynamics method in the detailed survey report (Sichuan Coalfield Geology ... 2003) of the tunnel, which is a relatively small value, due to the fact that the watershed area was not correctly defined, which lead to a smaller catchment area. The Zoumaling tunnel construction was completed in late 2005. During the construction, no large caves and underground rivers were discovered. After the construction of the tunnel, the actual average water inrush from the tunnel was 31,000 m<sup>3</sup>/d. Our forecast results according to the ground hydrogeological survey of almost 3.5×10<sup>4</sup> m<sup>3</sup>/d was very close to the monitoring data. So the difference between the actual monitoring data and the forecasted value with the method proposed in this paper is very

Tab. 4: Groundwater inrush calculated with help of the runoff modulus methods during the dry season.

Stratum	Area (m <sup>2</sup> )	Underground runoff modules M (l/s•km <sup>2</sup> )	Value of natural groundwater resources during dry season (m <sup>3</sup> /d)
T <sub>ij</sub>	44.9×10 <sup>6</sup>	6.00	2.3×10 <sup>4</sup>
T <sub>2b</sub>	25.4×10 <sup>6</sup>	3.96	0.87×10 <sup>4</sup>
T <sub>3xj</sub> -J	40.4×10 <sup>6</sup>	0.75	0.26×10 <sup>4</sup>
total	110.7×10 <sup>6</sup>	10.71	3.5×10 <sup>4</sup>

small. This was in agreement with the field observation that the tunnel water inrush during the wet period is nearly three times larger than during the dry period.

The tunnel was built in 2005, and the tunnel water

inrush has not been found so far by building the corresponding size drainage channel on the basis of accurately predicting the water inflow.

## CONCLUSION

Tunnel water inrush forecast is a complex issue. The volume of water inrush is controlled by topography, lithology, geological structure, the local meteorological and hydrological conditions, and many other factors. It is a subject that should be researched and explored constantly with field monitoring data, and combined with advanced technology and mathematical methods.

From this tunnel water inrush forecast evaluation it is concluded that a variety of methods should be utilized, combined with an inventory of the hydrogeological conditions in the survey area. Identify its complex of

hydrogeology, runoff and gushing conditions, which is the most basic work to predict the tunnel water inrush. Only do this we can forecast and calculate the tunnel water inrush. Secondly, it is recommended to use a variety of methods to improve the prediction accuracy when to predict the tunnel water inrush. Finally, the forecast of tunnel water inrush is a dynamic processes, the actual value observed during the construction stage should be used to revise the calculation parameters during the design stage.

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